

PTO/SB/21 (09-06) Approved for use through 03/31/2007, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/591.238 TRANSMITTAL Filing Date Unknown First Named Inventor **FORM** Laurent BAZINET Art Unit 1723 **Examiner Name** Unknown (to be used for all correspondence after initial filing) Attorney Docket Number 6013-146US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Number of pages does not include 10 references Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Ogilvy Renault LLP Signature Printed name Louise G. Bernier, Ph.D. Date Reg. No. February 7, 2007 38,791 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature 04 Foresal Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 7, 2007

Louise G. Bernier, Ph.D.

Typed or printed name



File No.: 6013-146US

Québec, Canada

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Université Laval

Serial No.:

10/591,238

Filing Date:

Unknown

Art Unit:

1723

Examiner:

Unknown

Title:

PROCESS AND SYSTEM FOR SEPARATION OF ORGANIC

CHARGED COMPOUND

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

NO FEE

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on a PTO/SB/08A/B form is a listing of the documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56.

The submission of any document which is not a statutory bar is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a <u>prima facie</u> prior art reference against the claims of the present application.



Statement of Relevancy

The listed document is being submitted either in compliance with 37 C.F.R. §1.97(b), within three (3) months of the filing date of a national application or of the date of entry of the national stage in an international application, or before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or pursuant to 37 C.F.R. §1.97(e)(1), within three (3) months from the date of an office action or of a search report issued in a foreign counterpart application citing each of the documents contained in the present statement; or pursuant to 37 C.F.R. §1.97(e)(2), within three (3) months from the first knowledge of each submitted document by any individual designated in C.F.R. §1.56(c), when each such document was not cited in a communication from a foreign patent office in a counterpart foreign application.

In the case of submission under 37 C.F.R. §1.97(e)(1) and (2), the undersigned Attorney/Agent of Record hereby certifies that the enclosed list of references is hereby submitted within three months (1) from the issuance of the foreign action or search report, or (2) from said first knowledge, respectively.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO/SB/08A/B Form to the below-signed agent.

Respectfully,

1 1-4

Date

Agent of the Applicant

Louise G. Bernier, Ph.D.

Reg. No. 38,791

OGILVY RENAULT LLP

Customer Number: 020988

PTO/SB/08A (09-06)

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/591,238		
Filing Date	Unknown		
First Named Inventor	Laurent BAZINET		
Art Unit	1723		
Examiner Name	Unknown	·	
Attorney Docket Number	6013-146US		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,905,886	09-16-1975	Wang	
		^{US-} 4,043,896	08-23-1977	Ahlgren	
		US- 4,123,342	10-31-1978	Ahlgren	
		^{US-} 2003/0010639	01-16-2003	Legatski et al.	
		US-			
		US-	-		
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		US-			
		US-			
		U\$-			
		US-			

FOREIGN PATENT DOCUMENTS						
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁰
		CA 2,002,816	05-15-1990	Perry et al.		
		CA 2,470,339	06-20-2002	Jonsson et al.		
		CA 1,088,024	10-21-1980	Ahlgren		
		JP 01095101 (Abstract)	10-05-1987	Suzuki et al.		
		EP 0 460 339	06-08-1990	Perry et al.		
		JP 06116258 (Abstract)	04-16-1994	Ochi et al.		

Examiner 1	Date	_
Signature	Considered	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO		Complete if Known		
Cuboma	10 10 10 10 11 1440 11 10		Application Number	10/591,238
INFO	ORMATION D	SCLOSURE	Filing Date	Unknown
STA	STATEMENT BY APPLICANT		First Named Inventor	Laurent BAZINET
	(Use as many sheets a	e nococeand	Art Unit	1723
	(Use as many sneets a	s necessary)	Examiner Name	Unknown
Sheet	0	f	Attorney Docket Number	6013-146US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Bargeman et al., 2000, Lait, 80: 175-185	
		Galier S. et al., 2004, Journal of Membrane Science, 241: 79-87	
		Labbé D. et al., "Effect of brewing temperature and duration on green tea catechin solubilization: potential fractionation and direct method measurment."	
		Labbé D. et al., "Electromigration feasability of green tea catechins."	
		·	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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